

10-14-03

1772

Practitioner's Docket No.: 791_181

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Akira MATSUMOTO and Masashi FUKUYAMA

Ser. No.: 10/036,325

Art Unit: 1772

Filed: December 26, 2001

Examiner: Catherine A. Simone

Confirmation No.: 3228

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For: RIBBONED POLARIZATION-MAINTAINING FIBER AND MANUFACTURING METHOD THEREFOR, AND POLARIZATION-MAINTAINING OPTICAL FIBER ARRAY USING THE SAME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 10, 2003 under "EXPRESS MAIL" mailing label number EV 30642 7166 US.

Janet M. Stevens

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(c)

TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final action under § 1.113,
- (2) a notice of allowance under § 1.311 or
- (3) an Office Action that closes prosecution.

STATEMENT OR SUBMISSION FEE

2. Accompanying this transmittal is

A. ☒ a statement as specified in 37 C.F.R. 1.97(e).

OR

B. ☐ the submission fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

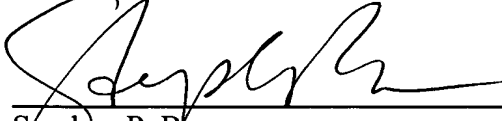
Fee due: \$____.00

METHOD OF PAYMENT OF FEE

3. ☐ Attached is a check in the amount of \$.00.
☐ Charge Account No. 50-1446 in the amount of \$. A duplicate of this request is attached.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

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Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

October 10, 2003

Date

SPB/jms

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Janet M. Stevens
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CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Stephen P. Burr

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October 10, 2003

Date

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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Chinese Office Action bearing a mailing date of August 8, 2003 from a corresponding Chinese patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

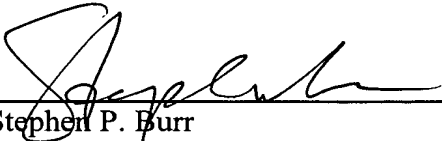
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

October 10, 2003

Date

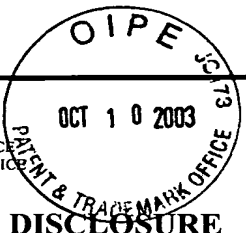


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Form PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>						Complete if Known	
				Application Number	10/036,325		
				Filing Date	December 26, 2001		
				First Named Inventor	Akira MATSUMOTO		
				Art Unit	1772		
				Examiner Name	Catherine A. Simone		
				Confirmation No.	3228		
Sheet	1	of	1	Attorney Docket No.	791_181		

U.S. PATENT DOCUMENTS

Exam. Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date

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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Translation	Abstract
		0 926 522 A2	10/06/1999	EP				
		0 947 867 A2	06/30/1999	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

Examiner:		Date Considered:	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.